

Please type a plus sign (+) inside this box →



01-03-99

PTO/SB/05 (4/98)

Approved for release through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

The Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No. 1620/76982

First Inventor or Application Identifier Ekkert

Title Cap and container assembly

Express Mail Label No. EL133503808US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

5. ☐ Microfiche Computer Program (Appendix)

2. ☒ Specification (preferred arrangement set forth below) Total Pages 15

6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 4

4. Oath or Declaration

Total Pages 2

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

9. ☐ English Translation Document (if applicable)

10. ☒ Information Disclosure Statement (IDS) PTO-1449 ☒ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

Statement filed in  
Prior application,  
Status still proper  
and desired

13. ☒ \*Small Entity Statement(s)  
(PTO/SB/09-12)0 ☐

14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

15. ☐ Other: \_\_\_\_\_

\*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL  
ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. §  
1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37  
C.F.R. § 1.28).

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) Of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

FOR CONTINUATION or DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declarations supplied  
Under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
Reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or attach bar code label here) or ☒ Correspondence address below

Name L. Friedman

Welsh & Katz, Ltd.

Address 120 South Riverside Plaza

22nd Floor

City Chicago

State Illinois

Zip Code 60606

Country

Telephone 312-655-1500

Fax

312-655-1501

Name Print/Type L. Friedman

Registration No. (Attorney/Agent)

37135

Signature

Date

29 December 1999

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any  
comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office,  
Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents,  
Box Patent Application, Washington, DC 20231.

PATENT  
1620/76982

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application For: )  
CAP AND CONTAINER ASSEMBLY )  
Applicant: Len EKKERT )  
\_\_\_\_\_ )

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF EXPRESS MAIL**

I hereby certify that this Certificate of Express Mail, together with a Utility Patent Application Transmittal Form, a Fee Transmittal Form, a check for \$420.00 (small entity application fee and assignment recordation fee), a fifteen (15) page specification, four (4) sheets of informal drawings, a two (2) page Declaration and Power of Attorney, an Assignment, an Assignment Recordation Cover Sheet, a three (3) page Information Disclosure Statement, a three (3) page Form PTO-1449, a copy of each of 33 listed patents, a Return Receipt Postcard, and a Small Entity Status Statement are being deposited with the United States Postal Service as Express Mail in an envelope with Express Mail No. EL133503808US, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this date of 29 December 1999.

*J. Hughes*

WELSH & KATZ, LTD.  
120 South Riverside Plaza  
22nd Floor  
Chicago, Illinois 60606  
(312) 655-1500

